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(71) Applicant (for all designated States except US): MEDICAL RESEARCH COUNCIL [GB/GB]; 20 Park Crescent, London W1N 4AL (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BATTERSBY, Sharon [GB/GB], 2 Pentland Gardens, Edinburgh EH10 6NW (GB). FINK, George [AU/GB]; 23 Regent Terrace, Edinburgh EH7 5BS (GB). GOODWIN, Guy, Manning [GB/GB]; 74 Murrayfield Gardens, Edinburgh EH12 6DQ (GB). HAR-MAR, Anthony, John [GB/GB]; 56 Liberton Place, Edinburgh EH16 6NA (GB). OGILVIE, Alan, David [GB/GB]; 6 Eden Lane, Edinburg EH10 4SD (GB), SMITH, Christopher, Albert, Dale [GB/GB]; 18 Gilberstoun, Edinburgh EH12 2QY (GB).

(74) Agent: MURGITROYD & COMPANY; 373 Scotland Street, Glasgow G5 8QA (GB).

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(54) Title: SCREENING FOR DISORDERS OF SEROTONERGIC DYSFUNCTION

(57) Abstract

Three novel alleles of the serotonin transporter gene are disclosed and shown to be effective markers for screening and diagnosis of migraine and psychiatric disorders. The sequences of the alleles are given. Methods for in vitro screening of individuals using DNA taken from blood samples are taught.

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SCREENING FOR DISORDERS OF SEROTONERGIC DYSFUNCTION

The present invention relates to a method of screening for and for diagnosis of psychiatric disorders and other disorders of serotonergic function, for example migraine.

Serotonin (5-hydroxytryptamine or 5-HT) is known to be involved in brain function and activity. The serotonin transporter (also known as 5-HTT) has been targeted using highly selective drugs to effectively treat depressive illness and anxiety disorders (see Anderson et al, J Psychopharmacol 1994 8; 238-249).

The structure of the rat serotonin transporter cDNA was published in 1991 (Blakely et al, Nature 1991 354, 66-70; and Hoffman et al, Science 1991 254, 578-580) and US Patent No 5,418,162 is directed to the sequence of the cDNA for the rat serotonin transporter and its use as an oligonucleotide probe which could be used as a PCR extension primer. The corresponding human cDNA was reported by Lesch et al, Journal of Neural Transmission 91; 68-73 1993 and separately by Ramamoorthy et al, in Proceedings of the National Academy of Sciences, USA, 19; 2542-2546 1993.

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1 The structure and arrangement of the human serotonin 2 transporter gene was first published in 1994 by Lesch 3 et al (Journal of Neural Transmission 95; 157-162). The authors noted the existence of a "17bp repetitive element" as a variable number tandem repeat (VNTR) 5 6 which occurred in the second intron of the gene. 7 sequence data for the VNTR is available in the Genbank/EMBL databases under accession number X76754 8 and is reproduced as part of Figure 1. Lesch et al 9 noted that the majority of the chromosomes examined had 10 11 either 10 or 11 copies of the repeat and for such 12 samples the frequency of the 10 VNTR sequence was 0.47 1.3 with 41% of individuals displaying heterogeneity. 14 was speculated that the number of repeats could 15 possibly play a role in the pathogenesis of neuropsychiatric illness. To date no evidence has been 16 17 reported which definitively links the VNTR sequences with any particular function. 18 19 20 The human serotonin transporter gene is localised to 21 chromosome 17q11.1-q12 (see Ramamoorthy et al 1993 supra) and to date there is no published evidence for 22 23 genetic linkage of any affective disorder to this part 24 of the genome. Current data indicates that, while there is a genetic basis for psychiatric disorders such 25 as anxiety and depression, and also for migraine, there 26 27 is no evidence which convincingly demonstrates an 28 underlying molecular basis for genetic susceptibility 29 in either case. 30 31 For example, a study made by Lesch et al in 1995 32 (Biological Psychiatry 37; 215-223) in which 17 33 patients suffering from major depressive or bipolar 34 disorder were screened for mutations in the serotonin 35 transporter cDNA sequence showed no difference compared 36 to the four controls.

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The studies leading to the present invention have 1 surprisingly found 3 alleles of the VNTR region in 2 intron 2 of the serotonin transporter gene. 3 alleles located are all novel and are designated STin2.9, STin2.10 and STin 2.12 containing 9, 10 and 12 5 copies of the VNTR repeat, respectively. The third 6 allele (STin 2.10) containing 10 copies of the repeat 7 differs from that described previously by Lesch et al 8 (1994, supra). No individuals possessing 11 copies of 9 the repeat were identified. 10 11 The frequencies of the different allele forms were 12 compared between the control group and groups having a 13 major affective disorder. There was a significant 14 difference between the control and affective disorder 1.5 groups. In particular the presence of the STin2.9 16 allele was found to be significantly associated with 17 affective disorder and was most common in unipolar 18 patients. This is the first time that a genetic 19 variation at the level of DNA sequence in a candidate 20 gene has been positively associated with affective 21 disorders. 22 23 Thus, the present invention provides the novel alleles 24 STin2.9, STin2.10 and STin2.12. The sequence of each 25 of the alleles STin2.9, STin2.10 and STin2.12 are 26 presented in Figure 1, labelled accordingly and 27 compared to the 10 repeat sequence reported by Lesch et 28 al, 1994, supra. The present invention also provides a 29 polynucleotide having a sequence substantially as set 30 out in Figure 1 for the alleles STin2.9, STin2.10 or 31 STin2.12 or a part thereof. The present invention 32 encompasses these alleles or the polynucleotides in 33 vectors and in transformed cells. Likewise the present 34 invention incorporates the use of such alleles,

polynucleotides, derivatives or parts thereof in

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genetic engineering procedures (for example as probes
 1
 2
      for PCR).
 3
 4
      In a further aspect, the present invention provides a
      cell line (preferably a mammalian cell line and
 5
      particularly a human cell line) comprising at least one
 7
      of the alleles STin2.9, STin2.10 or STin2.12 or a
      polynucleotide having a sequence substantially as set
8
      out for one of those alleles in Figure 1.
9
10
      The sequences of alleles STin2.9, STin2.10 and STin2.12
11
12
      are also presented in the sequence listing as SEQ ID
13
      Nos 1, 2 and 3 respectively.
14
15
      Generally the allele or polynucleotide will be located
16
      in intron 2 of at least part of the serotonin
17
      transporter gene.
18
19
      Likewise the present invention includes a transgenic
20
      animal which contains novel alleles and sequences
21
      according to the present invention. Generally the
22
      transgenic animal will be a mammal, especially a
23
      laboratory animal for example a rat or mouse.
24
25
      The cell line (which may be a transformed cell line)
      and transgenic animal according to the present
26
27
      invention may each independently be used as a model to
28
      evaluate potential agents which may be effective for
29
      combatting psychiatric disorders and other disorders of
30
      serotonergic function, for example migraine.
31
32
      There exists in the art numerous publications
33
      describing how to form such vectors, transformed cell
34
      and transgenic animals. Reference may be made to
35
      "Principles of Gene Manipulation" Old and Primrose, 5th
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edition, 1995, Blackwell Scientific Publications (and

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the references therein) as providing a general 1 background to the subject. 2 3 In a yet further aspect, therefore, the present 4 invention provides a method of evaluating agents for 5 the ability to influence the expression of the 6 serotonin transporter, said method comprising exposing 7 a cell line or transgenic animal as described above to 8 said agent and determining the effect of said agent on 9 the expression of the serotonin transporter. 10 11 In another aspect the present invention provides a 12 method of diagnosis of psychiatric disorders, said 13 method comprising analysing the number of VNTR repeats 14 in the second intron of the serotonin transporter gene. 15 16 In a further aspect, the present invention provides a 17 method of diagnosis of an individual's susceptibility 18 to migraine, said method comprising analysing the 19 number of VNTR repeats in the second intron of the 20 serotonin transporter gene. 21 22 Advantageously such methods of the present invention 23 will look particularly for the alleles STin2.9, 24 STin2.10 and STin2.12, and especially for STin2.9. 25 26 Viewed from a further aspect the present invention 27 provides a method of screening individuals for the 28 potential to develop a psychiatric disorder or to 29 suffer from migraine, said method comprising analysing 30 the number of VNTR repeats in the second intron of the 31 serotonin transporter gene. 32 33 Advantageously such methods of the present invention 34 will look particularly for the alleles STin2.9, 35 STin2.10 and STin2.12, and especially for STin2.9. 36

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Particular psychiatric disorders which may be diagnosed 1 and screened for using the methodology as mentioned 2 above include, from the DSM-IV taxonomy, mood 3 disorders, anxiety disorders and personality disorders. 4 The particular disorders of interest (DSM-IV codes in 5 parentheses) are depressive disorders (296.XX, 296.2X, 6 296.3X, 300.4, 311), and particular anxiety disorders 7 (300.01, 300.21, 300.22, 300.23, 300.3, 300.02, 8 300.00), personality disorders (301.83, 301.4) and 9 general medical disorders characterised by abnormal 10 serotonergic function including migraine and irritable 11 bowel syndrome. Thus, the invention may be used to 12 diagnose and screen for affective disorders, in 13 particular unipolar depressive illness, and related 14 anxiety disorders (for example panic disorder, 15 obsessional compulsive disorder), migraine and 16 irritable bowel syndrome. 17 18 The invention may also be useful in diagnosis of, or in 19 identifying propensity to dementia such as alzheimer's 20 disease, and to aggression, particularly that 21 associated with dementia, since it can be shown that 22 defective serotonin transmission in brain is linked to 23 these abnormalities. 24 25 Migraine is one of the most common neurological 26 disorders, affecting 16-23% of the general population 27 (Rasmussen BK et al Cephalagia 1992;12:221-28, and 28 Russell MB et al Int. J. Epidemiology 1995;24:612-18). 29 There are two main types of migraine. The first, 30 migraine without aura (MO; previously called common 31 migraine) is characterised by headache attacks lasting 32 4-72h. The headache is usually severe, unilateral, 33 pulsating, aggravated by physical activity, and 34 accompanied by nausea, vomiting, photophobia, and 35 phonophobia. In the second type, migraine with aura 36

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(MA; previously classical migraine), the attack is 1 preceded by an aura i.e., reversible visual, sensory, 2 The ensuing headache motor and/or aphasic symptoms. 3 is very similar to that of MO (Rasmussen BK et al. 4 Cephalagia 1996;16:239-245). 5 6 The results of most family studies of migraine that use 7 segregation analysis have suggested that genetic 8 factors account for a significant degree of the 9 variance of MO and MA. Russell and colleagues (see 10 Neurology, 1993, 43: 1369-73) have studied 121 11 individuals with MO and 72 individuals with MA in a 12 Danish population, diagnosed according to IHS criteria 13 and ascertained from the community using the Danish 14 Central Person Registry. They reported that, compared 15 with the general population, the first-degree relatives 16 of individuals with MO had a three-fold increase of MO, 17 while the first-degree relatives of individuals with MA 18 had a two-fold increase both of MO and of MA. Compared 19 with the general population, few spouses had either MO 20 or MA. This strongly suggested that MO and MA are 21 genetically determined although the study suffered from 22 the lack of direct interview of relatives. 23 24 A later, though similar study conducted by Russell & 25 Olesen (see BMJ, 1995, 311: 541-4) the first-degree 26 relatives of individuals with migraine were 27 interviewed. They found that the first-degree 28 relatives of individuals with MO and 1.9 times the risk 29 of MO and 1.4 times the risk of MA. First-degree 30 relatives of individuals with MA had 3.8 times the risk 31 The first-degree of MA and no increased risk of MO. 32 relatives of screened controls had no increased risk of 33 MO or MA. Although a different pattern of results 34 emerged from those reported in the 1993 study (see 35 Russell et al 1993 supra), the results nevertheless 36

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strongly suggest that MO and MA have a different 1 aetiology, and as they are based upon direct 2 neurological interview and examination of all the 3 relatives, are probably more reliable than the original 4 study. The genes contributing to genetic 5 susceptibility for MO and MA remain to be identified. 6 7 Mochi and colleagues (see Cephalagia, 1993, 13: 389-8 94) have performed segregation analysis on groups of 9 families with MO and MA. The resulting heritability 10 coefficients, a measure of the degree of concordance 11 among first-degree relatives, indicate a major genetic 12 component in both MO and MA, and were interpreted as 13 suggesting for MA, a possible multifactorial threshold 14 character, and for MO, the likely presence of a major 15 susceptibility gene with reduced penetrance. 16 17 A greater understanding of molecular migraine 18 mechanisms has come from the study of serotonin (5-HT) 19 and its receptor subtypes. One of the most important 20 initial strands of evidence implicating serotonin in 21 the pathogenesis of migraine was the claim that its 22 intravenous injection tends to reverse migrainous 23 headache. Further work in this field has shown that 24 during a migraine attack, platelet serotonin levels 25 decrease, urinary serotonin increases in some patients, 26 and 5-HIAA, a major metabolite of serotonin, may 27 increase. Other evidence suggesting a role for 28 serotonin is based on the observation that headache can 29 be precipitated by reserpine (which depletes neural 30 serotonin stores). In addition, it may be relieved by 31 selective $5-HT_{1D}$ agonists such as sumatriptan, and 32 blocked by treatment with methysergide (a serotonin 33 receptor antagonist). 34 35 There is striking similarity between the epidemiology 36

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of migraine and that of depression, both disorders in 1 which serotonergic mechanisms have been implicated. 2 Major depression, like migraine, is a common disorder 3 with estimated lifetime prevalence ranging from 2-12% 4 for men and 5-25% for women, and it may be precipitated 5 by reserpine in susceptible subjects. In addition, low levels of platelet serotonin and other abnormalities of 7 its metabolites have been shown. Both migraine and 8 depression show an efficacious response to treatment by 9 tricyclic and monoamine oxidase inhibiting 10 antidepressants, both having serotonergic activity. 11 12 13 Several studies have attempted to examine the association between migraine and depression. A 14 clinical study by Merikangas and colleagues (see 15 Psychiatry Res, 1988, 2:119-29) yielded significant 16 associations between the two conditions. Systematic 17 studies of migraine and depression in community samples 18 19 have shown remarkable similarity in their reported results (see Merikangas et al, 1988 supra; Merikangas 20 et al, Arch Gen Psychiatry, 1990, 47: 849-53; and 21 Breslau et al, Psychiatry Res, 1991, 37:11-23). 22 odds ratio (OR), which measures the degree of 23 association between the two disorders, was nearly 24 identical in these three studies (OR=3.5, 3.1, 3.6 25 respectively), confirming the clinical observation 26 27 regarding an association between migraine and depression. Such co-morbidity may represent shared 28 29 risk or common aetiology, a possibility also suggested 30 by segregation analyses (see Merikangas et al, 1990, supra). It is plausible, therefore, that serotonin 31 provides a common neurochemical basis for this 32 33 interaction. In more detail the number of VNTR repeats occurring in 35

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intron 2 of the serotonin transporter gene may be 36

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determined in vitro from a sample taken from the 1 patient using technologies such as (for example) 2 polymerise chain reaction (PCR), heteroduplex analysis 3 and Southern blotting. Other methods include 4 comparative genome hybridisation (Methods in Enzymology 5 Rayburn, 1993, Vol 224, pages 204-212), single strand 6 conformational polymorphism analysis (see Lenk et al, 7 Neuromuscular Disorders 1994 $\underline{4}$: 411-418) and Ligase 8 Chain Reaction (see Jou et al, J Human Mutation 1995 5 9 : 86-93). Where a probe is required in these 10 techniques any sequence able to hybridise to the 11 sequences of interest may of course be used. 12 13 In a preferred aspect the present invention provides 14 methods of diagnosis and/or screening for psychiatric 15 disorders or for susceptibility to migraine, which 15 method comprises obtaining a sample from the individual 17 and screening the sample in vitro to look for the 18 number of VNTR repeats appearing in intron 2 of the 19 serotonin transporter gene. Where 9 repeats of the 20 VNTR are located it may be concluded that the 21 individual can be considered to be at risk of or 22 suffering from psychiatric disorders and the individual 23 may be treated accordingly. Where 12 repeats of the 24 VNTR are located it may be concluded that the 25 individual can be considered to be at risk of or 26 suffering from MO, whilst 9 repeats of the VNTR 27 suggests an increased risk of MA. The present 28 invention may be particularly of importance in aiding 29 accurate prescription needs, especially having regard 30 to the need for continuing therapy. 31 32 It may be convenient to conduct the methods of the 33 present invention on DNA extracted from a blood sample, 34 especially white blood cells. Any other physiological 35 sample may also be suitable; mention may be made of 36

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body fluids containing DNA (such as saliva or blood) 1 and other non-fluid samples such as hair. 2 3 The present invention will now be illustrated with 4 reference to the following, non-limiting, examples. S 7 Example 1 8 Subjects and Methods. The design of the study was 9 approved by the relevant Ethics Committee. 10 with major affective disorder were recruited from the 11 inpatient and outpatient services of the Royal 12 Edinburgh Hospital. We planned to enter at least 80 13 patients and 160 controls into the study. 39 patients 14 with single or recurrent major depressive episodes and 15 44 patients with bipolar disorder were eventually 16 All fulfilled both the DSM IV criteria (see included. 17 American Psychiatric Association "Diagnosis and 18 Statistical Manual of Mental Disorders" 3rd edition, 19 revised, Washington DC, 1987) for major depressive 20 disorder or bipolar disorder and also the "probable" 21 Research Diagnostic Criteria (see Spitzer et al, Arch 22 Gen Psychiatry 1978 35: 773-782) according to the 23 Schedule for Affective Disorders and Schizophrenia 24 (Lifetime version)(SADS-L) (Endicott et al, Arch Gen 25 Psychiatry 1978, 35: 837-844) on interview and case 26 note evaluation by an experienced psychiatrist. 27 28 A group of 122 Controls came from two sources. 29 anonymous control samples were obtained through the co-30 operation of the local Blood Transfusion Service. 31 They were not screened for the presence of a personal 32 or family history of psychiatric disorder but met the 33 normal criteria for blood donation and so were taking 34 no regular psychotropic medication. A further group 35 of 71 volunteer controls were obtained from several 36

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sources and was screened using a short questionnaire
 1
 2
     based on sections of SADS-L to exclude affective
     disorder, anxiety disorders other psychotic disorders
 3
     and alcohol problems both in the subjects themselves
 5
     and in first or second degree relatives.
                                                  In addition,
     all those who suffered from probable migraine or
 6
7
     irritable bowel syndrome, considered by some to be
      "affective spectrum disorders" in which a serotonergic
8
9
     mechanism has been implicated (see Hudson et al, Am J
10
     Psychiatry 1990 147: 552-564) were excluded.
11
12
     The mean ages of the patient and control groups were:
13
     unipolar 43.4, bipolar 43.7, screened controls 47.2.
14
     The sex ratios (female: male) were: unipolar (48.7:
     51.3), bipolar (47.0 : 53.0) and screened controls
15
      (35.2 : 64.8).
16
17
18
     DNA Isolation. Venous blood samples were frozen
19
      immediately in dry ice and stored at -70°C.
20
     DNA was isolated as described previously (see Smith et
     al, Lancet 1992 339: 1375-1377).
                                         Briefly, 100µl of
21
22
     whole blood was washed three times in TE buffer (10mM
23
     Tris-HCl, pH8, 1mM EDTA), peripheral blood leucocytes
24
     were harvested by centrifugation and re-suspended in
25
      100µl lysis buffer (50mM KCl, 20mM Tris-HCl (pH 8.3),
      2.5mM MgCl<sub>2</sub>, 0.45% Nonidet P-40, 0.45% Tween 20)
26
     containing 200µg ml-1 Proteinase K. Lysis was completed
27
     by incubation for 20 minutes at 55°C and the crude
28
29
      lysates were diluted with an equal volume of sterile
30
      distilled water and heated to 96°C for 10 minutes to
31
      inactivate the proteinase.
                                   Samples were either used
32
      immediately or stored at -20°C until required.
33
34
      PCR of Intron 2. Target DNA (2-5µl of lysate) was
35
      amplified by polymerase chain reaction using specific
36
      oligonucleotide primers; 8224 (5'GTCAGTATCACAGGCTGCGAG)
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13

and 8223 (5'-TGTTCCTAGTCTTACGCCAGTG) whose sequences 1 appear in the sequence listing at SEQ ID Nos: 5 and 4 2 This primer pair amplifies the VNTR respectively. 3 region of intron 2 containing the 17bp repetitive 4 element as is illustrated in Figure 2. 5 carried out using 1.5U Taq polymerase (Promega), 100nq 6 of each primer, 200µM each of dATP, dCTP, dGTP, and 7 dTTP, 0.5% or 1.0% (v/v) DMSO and 1.5mM MgCl₂ in 1 x PCR 8 buffer (Promega) (50mM KCl, 10mM Tris-HCl (pH9), 0.1% 9 Triton X-100) in a final reaction volume of 50μ l. 10 Thermal cycling was carried out in a Hybaid Omnigene 11 with a PCR profile starting with an initial strand 12 separation at 94°C for 4 minutes followed by 35-43 13 cycles of primer annealing at 60°C (20s), 14 polymerisation at 72°C (20s) and denaturation at 94°C 15 A final polymerisation step of 120s was 16 carried out to complete elongation of all amplified 17 Amplified fragments were resolved on 5% non-18 denaturing polyacrylamide gels and bands visualised by 19 ethidium bromide staining and UV transillumination 2.0 The identity of the products was 21 (Figure 3). confirmed by digestion with restriction enzymes HaeIII, 22 BstN I and Sma I and by direct sequencing. 23 24 Amplified fragments were separated on 2% agarose gels, 25 excised and purified by the Wizard PCR DNA purification 26 Sequencing was performed using the system (Promega). 27 Prism DyeDeoxy Terminator Cycle sequencing kit with one 28 of the primers used to generate the PCR product. 29 sequencing reactions were performed in a Perkin Elmer 30 Cetus thermal cycler (30 cycles consisting of 30s at 31 96° C, 15s at 50° C and 4 min at 60° C). Unincorporated 32 nucleotides were removed by phenol/chloroform 33 Electrophoresis was carried out on an 34 extraction. Applied Biosystems model 373 STRETCH DNA Sequencer at a 35 constant power of 30W for 12 hours using a 4.75% 36

denaturing polyacrylamide gel. 1 2 Statistical Analysis. Patients were examined both as 3 separate unipolar and bipolar disorder groups and as a combined group. Analysis was carried out on the raw 5 frequencies by the Chi squared test and by the Fisher 6 exact test (two tailed). These calculations were 7 performed using the Statistical Package for the Social 8 Sciences (Apple Mackintosh version 4.0). In addition 9 odds ratios and confidence limits were calculated by 10 11 standard methods. 12 Heteroduplex Analysis. PCR products were denatured for 13 3 minutes at 95°C and allowed to cool to 37°C over 30 14 Samples $(5\mu l)$ were electrophoresed through 15 MDE Hydrolink gels (AT Biochem) at 800V overnight and 16 bands were visualised by silver staining. 17 18 19 Results. Three alleles of the VNTR region in intron 2 of the 20 serotonin transporter gene were detected by PCR 21 followed by polyacrylamide gel electrophoresis. 22 sequence data for the three alleles is presented in 23 Figure 1. By sequencing representative PCR products, 24 we identified three novel alleles (STin2.9, STin2.10 25 and STin2.12) containing, respectively, 9, 10 and 12 26 27 copies of the VNTR repeat. The third allele present in our subjects (STin2.10) contained 10 copies of the 28 repeat and differed from that as described by Lesch et 29 al 1994, supra). We were unable to identify any 30 individuals possessing 11 copies of the repeat. 31 32 All chromosomes examined contained either 9, 10 or 12 3.3 copies of the 17bp repeat, with frequencies of 0.02, 34 0.40 and 0.58 respectively. The consensus sequence is: 35 GGCTGYGACCY(R)GRRTG

```
There was loss of the 12th base in 3 repeats. STin2.12
1
      showed an additional 2 repeats in the area of
2
     alternating 16 and 17bp motifs. 11 copies of the VNTR
     were not seen in any of the PCR products analyzed here.
4
     The third novel allele on the VNTR containing 9 copies
5
     of the repeat is identical to STin2.10 except for the
 6
     loss of the 6th repeat.
7
8
     There are some minor differences between some of the
9
     repeats within the consensus sequence and the pattern
10
     of repeats for the various alleles may be represented
11
     as follows (see Figure 1):
12
13
     STin2.12 ABCDEFDGDGDF
14
     STin2.10 A B C D E F
15
                                DGDF
     STin2.9
               ABCDE
16
17
               A" B C D" E G D G D F
18
       indicates that the repeat does not correspond exactly
19
     to that of the novel repeats in the present invention.
20
21
      It is interesting to note that in STin2.9 the 6th
22
     repeat is a 16mer rather than a 17mer as in the other
23
      two alleles of the present invention.
24
25
     Since there was no significant difference in the
26
      frequency of the three alleles between the screened and
27
      BTS control groups, all further statistical comparisons
28
     were made between the patient groups and the combined
29
30
      control group.
31
      There was a significantly higher frequency of genotypes
32
      containing the STin2.9 allele in the unipolar group
33
      compared to the control group (P < 0.002: Table 1, and
34
      Figure 4). There was also a statistically significant
35
      difference between the combined affective disorder
36
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group and the control group in the frequency of 1 individuals carrying the STin2.9 allele (P < 0.02: 2 3 Table 1). These differences were significant in a two-tailed Fisher's exact test at P < 0.01 and P < 0.05, respectively. When allele frequencies were 5 6 considered, there remained a significant difference between the unipolar and control groups ($\chi^2 = 9.87$, 7 P<0.01: Table 1). In addition there appeared to be a 8 tendency for affected individuals to have allelic forms 9 with fewer VNTRs than control subjects ($\chi^2 = 9.56$, P < 10 0.05). 11 12 Odds ratios were calculated for the risk of affective 13 14 disorder if a single copy of the STin2.9 allele was present. For the risk of unipolar disorder given a 15 single STin2.9 allele, the odds ratio was 6.95, with 16 95% confidence limits of 1.8-27.2 (Table 1). 17 18 19 Discussion A dysfunction of the serotonergic system has long been 20 suspected in depression and other affective and anxiety 21 disorders but could not previously be definitely linked 22 23 to any defect thereof. Drug-free depressed patients 24 have been reported to have reduced serotonin 25 metabolites in CSF and postmortem brain tissue, 26 decreased plasma tryptophan concentrations and an increase in the density of brain 5-HT2 binding sites 27 28 (see Ins et al, Clin Chem 1994, 40: 288-295). 29 30 It is known that antidepressant drugs which act specifically to block serotonin re-uptake have 31 comparable efficacy to tricyclic antidepressants and 32 33 monoamine-oxidase inhibitors which act on other monoamine neurotransmitters as well as serotonin. 34 Many investigators have reported low numbers of 35 36 platelet and brain serotonin (5-HT) transporter sites

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in drug-free depressed patients (see Boyer et al, 1 "Selective serotonin re-uptake inhibitors, Chichester: John Wiley & Sons Ltd, 1991, pages 71-80 and references Our results suggest a mechanism by cited therein). 4 which genetic variability in the serotonin transporter 5 gene may play a role in determining in susceptibility 6 to depression. 7 8 There are now several documented examples of 9 neuropsychiatric disorders caused by variations of 10 expansion of triplet repeats (see Ross et al, Trends 11 Neurosci 1993, 7:254-260) but few instances in which 12 VNTRs with longer repeating sequences confer 1.3 susceptibility to disease. The IDDM2 locus, conferring 14 susceptibility to type 1 diabetes, has been mapped to a 15 14-15 bp VNTR located between the tyrosine hydroxylase 16 and insulin genes on chromosome 11p15.5 (see Bennett et 17 al, Nature Genet 1995, 9:284-292). A VNTR with a 40 bp 18 repeating sequence in the dopamine transporter gene, 19 which is closely related to the serotonin transporter 20 gene, has been suggested to play a role in determining 21 susceptibility to some forms of alcoholism (see Perisco 22 et al, Biol Psychiatry 1993, 34:265-267 and Goldman 23 Nature Med 1995, 1:624-625). 24 25 There are several possible mechanisms by which 26 variation in the VNTR in the serotonin transporter gene 27 might influence susceptibility to affective disorders. 28 Variations in the VNTR region may play a role in 29 regulation transcription, possibly through an adjacent 30 AP-1 motif (see Lesch et al, 1994, supra). Variations 31 in the VNTR at the IDDM2 locus have been shown to 32 influence the expression of insulin mRNA in pancreatic 33 cell lines: gene constructs containing haplotypes of 34 the VNTR which confer susceptibility to type 1 diabetes 35 are expressed at higher levels than other haplotypes 36

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(see Lucassen et al, Hum Mol Genet 1995, 4:501-506). 1 Alternatively, the polymorphism may be in linkage 2 disequilibrium with a susceptibility locus nearby, as 3 is the case for alleles of a VNTR downstream of the 4 human phenylalanine hydroxylase gene (see Goltsov et 5 al, Am J Human Genetics 1992 51: 627-636). 6 7 8 Example 2 9 The preliminary study described in Example 1 was 10 expanded. 11 12 Subjects. The design of the expanded study was 13 approved by the relevant committee for Medical Ethics. 14 15 One hundred and nineteen individuals with single or 16 recurrent major depressive episodes and 128 individuals 17 with bipolar disorder were compared with a group of 346 18 controls. These totals include 39 unipolar, 44 bipolar 19 and 193 controls from our preliminary study (described 20 in Example 1). Patients with major affective disorder 21 were recruited from the in-patient and out-patient 22 population of the Royal Edinburgh Hospital. All 23 patients met DSM III-R criteria for major depressive 24 disorder or bipolar disorder and also the probable 25 Research Diagnostic Criteria according to the Schedule 26 for Affective Disorders and Schizophrenia (Lifetime 27 version) (SADS-LA) (Endicott and Spitzer 1978, Archives 28 of General Psychiatry 35: 837-844). Control samples 29 were obtained from two sources: 103 volunteers who were 30 screened to exclude past psychiatric illness by a brief 31 interview and 243 anonymous donors from the Scottish 32 Blood Transfusion Service who met usual criteria for 33 blood donation and were therefore not currently on any 34 psychotropic medication. 35

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The methodology was as described above for Example 1, 1 and a minimum of 15 examples of each allele were 2 directly sequenced. 3 4 Statistical Methods. In addition to the Chi squared 5 test and Fisher exact test (two tailed), a comparison 6 of allele frequency distributions between the control 7 and patient groups was made by multiple analysis of 8 variance (MANOVA) using the Statistical Package for the 9 Social Sciences (SPSS Apple Macintosh v 4.0). 10 Bonferroni correction was applied to allow for multiple 11 comparisons when the Chi squared test was employed to 12 compare the affective sub-groups with controls. Odds 13 ratios and confidence limits were calculated by 14 standard methods. 15 16 Characterisation of the VNTR alleles supported the 17 results reported in Example 1. The 15 examples of each 18 allele sequenced proved to be identical and supported 19 the consensus sequence and sequence of repeats reported 20 in Example 1. 21 22 Table 2 illustrates the Association Study. 23 distribution of genotypes and allele frequencies for 24 the VNTR in the control and patient samples. 25 distributions of genotype and allele frequencies were 26 similar in the total control and patient samples 27 compared to those described for the preliminary study. 28 29 There was a significant difference between patients 30 with affective disorder and controls in the proportion 31 of individuals carrying the STin2.9 allele (Table 2). 32 This was true for both unipolar and bipolar sub-groups 33 although there appeared to be a larger effect in the 34 unipolar group (Table 2). For the risk of unipolar 35 disorder given a single STin2.9 allele, the odds ratio

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was 4.44 (95% Cl, 1.65-11.95) and for bipolar disorder
 1
 2
      3.22 (95% Cl, 1.15-909).
 3
 4
      The mean age of the volunteer controls was 45.04 (SD
 5
      15.21) and of the patients 41.23 (SD 15.00). There was
      no significant sex difference in the distribution of
 6
 7
      STin2.9 allele between patient and control groups
 8
      (\gamma^2 = 0.99).
9
10
      Allele frequencies were also calculated for the control
11
      and patient groups (Table 2). MANOVA showed a
12
      significant difference in overall allele distribution
13
      between the affective disorder group v control group
14
      and the unipolar v control group (Table 2). There was
15
      a similar trend in the bipolar sample which did not
16
      reach statistical significance (p=0.065, 2 d.f., two
17
      tailed).
18
19
      Discussion.
20
      There was a significant overall difference between
21
      affective disorder and control groups in the frequency
22
      distribution of alleles of the human serotonin
23
      transporter gene. The main finding is a significant
24
      increase in the frequency of the STin2.9 allele in
25
      patients with major affective disorder. This extends
26
      the previous finding described in Example 1 to a larger
27
      patient and control samples from the same population.
28
29
      The structure of the VNTR consisted of 9, 10 or 12
30
      copies of a 16-17 bp motif. The three alleles
31
      contained seven variants of the repetitive element
      (indicated as A-G in Figure 1) in a specific order. We
32
33
      did not detect any allele containing 11 repeats, even
34
      though it has been reported in another study that the
35
      majority of chromosomes examined contained either 10 or
36
      11 copies (Lesch et al, 1994, Journal of Neural
```

21

Transmission 95 : 157-162). STin2.10 is similar to the 1 10 repeat allele described by Lesch et al, 1994 supra 2 although repeats A and D show slight sequence variation 3 and the order of elements seen here is ABCDEFDEDF rather than ABCDEEDEDF. 5 6 Comparison of the STin2.9, 10 and 12 alleles suggests 7 that the shorter forms may have been generated by loss 8 of central repeating elements. Evidence from VNTRs 9 such as those in the collagen type II (COL2A1) and 10 Apolipoprotein B genes suggest that the secondary DNA 11 structure may be important in the generation of new 12 alleles (Berg and Olaisen, 1993, Genomics 16: 350-354; 13 Desmarais et al, 1993, Nucleic Acids Research 21: 14 2179-2184). The sequences of VNTRs may favour the 15 formation of hairpins and loops, which could result in 16 the formation of new alleles by replication slippage. 17 18 The particular association between the occurrence of a 19 STin2.9 allele and the risk of affective disorder 20 requires explanation. The level of serotonin 21 transporter gene transcription may be influenced by the 22 sequences of the repetitive elements. VNTRs close to 23 the insulin (IDDM2 locus) and HRAS1 genes bind 24 transcription factors and show allelic variation 25 associated with disease (Catignani Kennedy et al, 1995, 26 Nature Genetics 9: 293-298; Green and Krontiris, 1993, 27 Genonics 17:429-434). These VNTRs regulate 28 transcription in a cell and promoter specific way and 29 small differences in nucleotide sequence influence the 30 level of transcriptional activity. At the IDDM2 locus, 31 the absence of a single 14 bp repeat element designated 32 "e" has been suggested to cause loss of a protective 33 effect against the development of insulin dependent 34 diabetes (Bennett et al, 1995, Nature Genetics 9: 284-35 By analogy, the absence of the 16bp element "F" 36

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1 near the centre of the VNTR may also have functional 2 consequences. Alternatively it may simply be the 3 overall length of the VNTR which is adjacent to a 4 putative transcription factor (AP-1) binding site, that 5 is important. 7 These findings support that hypothesis that allelic 8 variation in the serotonin transporter gene may 9 contribute to susceptibility for both major depression and bipolar disorder. 10 11 12 Example 3 13 This example investigates the role of allelic variation 14 in the human serotonin transporter gene (HSERT), and in 15 particular the variable number tandem repeat (VNTR) 16 polymorphism in the second intron of the gene in 17 individuals with MO, MA, MO+MA and unaffected controls. 18 19 Subjects and Methods. Subjects were obtained by 20 screening all 40 year olds drawn from the population in 21 a region outside Copenhagen using the Danish Central 22 Person Registry, in collaboration with Russell and 23 colleagues. This sample represents a unique group of 24 migrainous individuals from what is effectively an 25 epidemiological catchment area. Seventy-six 26 individuals with MA alone and 92 with MO alone were 27 included. Eighteen individuals with co-occurrence of 28 both MO and MA were also included (see Russell et al, 29 1988, supra). For later analysis, this co-occurrence 30 group was treated both independently and as part of the 31 "combined MA" and "combined MO" groups. Forty-eight 32 controls drawn from the Danish population who had been screened by a neurologist to rule out any personal 33 34 history of migraine were included. In addition a group 35 of 103 Scottish volunteer controls who had been 36 screened by questionnaire to exclude a personal history

of migraine were also included. ì 2 3 Methods DNA Extraction and Polymerase Chain Reaction Analysis 4 Venous blood samples were obtained from the study 5 sample in EDTA vials, and were frozen immediately. 6 They were stored at -80°C prior to DNA isolation. 7 Genomic DNA was isolated as described by Smith et al 8 (see The Lancet, 1992, 339 : 1357-7). Briefly the 9 procedure was as follows: 10 11 DNA Isolation: 100μ l venous blood was placed in a 12 microcentrifuge tube and washed in $750\mu l$ TE buffer by 13 thorough mixing and centrifuging at 14,000g for 2 14 minutes. The supernatant was aspirated, and the pellet 15 washed a further two times with $500\mu 1$ TE to complete 16 lysis of red blood cells. The final pellet (peripheral 17 blood leucocytes) was lysed by adding $100\mu l$ lysis 18 buffer containing $200\mu g/ml$ Proteinase K. After 19 incubation at 55°C for 20 minutes, $100\mu l$ of sterile 20 water was added to the crude lysate, and this was 21 heated to 98°C for 10 minutes to inactivate the 22 23 proteinase. 24 Polymerase Chain Reaction Analysis. Target DNA was 25 amplified by the polymerase chain reaction (PCR) using 26 the specific oligonucleotide primers 8224 27 (5'-GTCAGTATCACAGGCTGCGAG-3') and 8223 28 (5'-TGTTCCTAGTCTTACGCCAGTG-3'), according to standard 29 protocols (Ogilvie et al. Lancet 1996;347:731-733). 30 Each $50\mu l$ PCR amplification reaction contained $3\mu l$ DNA 31 lysate, 1.5mM MgCl $_2$, 4.5 μ l 10x reaction buffer, 1% (v/v) 32 DMS0, $200\mu\text{M}$ each dNTP, 200ng each primer and 1.5U Taq 33 DNA polymerase. Forty-five cycles (30s of denaturation 34 at 94°C, 30s of primer annealing at 60°C, 30s of 35 polymerisation at 72°C) were performed using a Hybaid 36

Omnigene thermocycler, with initial strand separation 1 carried out at 94°C for 5 minutes. A final 2 polymerisation step of 1 minute was performed to 3 complete elongation of all amplified strands. 4 5 Amplified products were separated on 2% agarose gels, excised and purified by the Wizard PCR DNA Purification 7 Sequencing was achieved using the ABI PRISM 8 Dye Terminator Cycle Sequencing Ready Reaction Kit with 9 AmpliTaq DNA Polymerase, FS in a Perkin Elmer Cetrus 10 thermocycler (30 cycles of 30s at 96°C, 15s at 50°C, 4 11 min at 60°C) with reverse primer 8223. Extension 12 products were purified by ethanol precipitation. 1.3 Electrophoresis was performed on a 4.75% acrylamide and 14 urea gel run for 13 hours at a constant power of 30W, 15 using a model 373A STRETCH DNA Sequencer. Samples were 16 stored at -20°C until required. 17 18 Target DNA was amplified by the polymerase chain 19 reaction (PCR) using the specification oligonucleotide 20 primers 8224 (5'-GTCAGTATCACAGGCTGCGAG-3') and 8223 21 (5'TGTTCCTAGTCTTACGCCAGTG-3'), according to standard 22 protocols (see Smith et al, 1992, supra). The primer 23 pair amplifies the region of intron 2 containing the 24 16-17 bp repetitive element (Figure 2). To distinguish 25 between alleles, fragments were separated by 26 electrophoresis through a 5% non-denaturing 27 polyacrylamide gel, and bands visualised by UV 28 transillumination of gels stained with ethidium bromide 29 (Figure 5). 30 31 Figure 5 shows PCR analysis of HSERT intron 2 in 6 32 individuals. 5% Polyacrylamide gel stained with 33 ethidium bromide is shown. Five different genotypes 34 can be identified: STin2.12/STin2.12 (300bp: lane 1); 35 STin2.10/STin2.10 (267bp: lane 2); STin2.10/STin2.12 36

```
(267bp+300bp: lanes 3 and 6); STin2.9/STin2.12
1
     (250bp+300bp: lane 4); and STin2.9/STin2.10
2
     (250bp+267bp: lane 5). M indicates the lane containing
3
     DNA markers of the molecular sizes indicated.
4
5
     Examples of each allele in each of the study groups
6
     were directly sequenced as described above. Alleles
7
     were identified and sequences constructed using
8
      GeneJocky II.
9
10
      Statistical analysis. Comparison of allele frequency
11
      distributions between the control and patient groups
12
      and analysis of genotype distribution was carried out
13
      on the raw frequencies by the \chi^2 test. Yate's
14
      continuity correction was applied for any 2 by 2 tables
15
      with cells having values less than 10. Overall allele
16
      frequency distributions were compared between the
17
      control and patient groups by multiple analysis of
18
      variance (MANOVA). The Statistical Package for the
19
      Social Sciences was used (SPSS Mac v4.0). Hardy-
20
      Weinberg equilibrium of observed allele frequencies was
21
      examined by \chi^2 analysis.
22
23
24
      Results
      Characterisation of the VNTR Alleles. Three alleles of
25
      the intron 2 VNTR region of human serotonin transporter
26
       (HSERT) were identified in the Danish individuals
27
       (Figure 5). All of the suspected STin2.9 alleles (nine
28
       in total), plus six examples of each of the alleles
29
       corresponding to STin2.10 and STin2.12 were sequenced
 30
       and proved to be identical to those described in
 31
       Example 1 with no differences between groups. The
 32
       three alleles contained respectively, 9(STin2.9),
 33
       10(STin2.10) and 12(Stin2.12) copies of a repetitive
 34
       element present as seven variants (indicated as A to G
 35
       in Figure 1).
 36
```

Association Study. The distribution of genotype and 1 allele frequencies for the VNTR in control and patient 2 groups is shown in Table 3a. Figures 6 and 7 show, 3 respectively, the distribution of genotype frequency 4 and allele frequency according to the group studied. 5 6 There was no significant difference in the overall 7 distribution of genotypes between the Danish and the 8 Scottish screened control groups ($\chi^2=0.56$ (3df), 9 p=0.0906). In view of this similarity, further 10 comparisons with the patient groups were done using 11 both the Danish controls on their own and a combined 12 group including all 151 controls. 13 14 Comparing the MO group to the combined controls, there 15 was significant increase in the frequency of 16 17 individuals and the STin2.12/STin2.12 genotype (χ^2 =4.71 (1df), p<0.05). In addition, MO patients showed a 18 significant move away from having a single copy of the 19 STin2.10 allele when compared with combined controls 20 $(\gamma^2=4.07 \text{ (ldf)}, p<0.05)$, although clearly these findings 21 may be interdependent. This effect was also 22 significant in the "combined MO group", which showed a 23 shift in allele frequency distribution from having a 24 single copy of the STin2.10 allele ($\chi^2=6.14$ (ldf), 25 p<0.02)to having two copies of the STin2.12 allele 26 $(\chi^2=4.80 \text{ (ldf)}, \text{ p<0.05})$. For the risk of MO given a 27 homozygous STin2.12 genotype, the odds ratio was 2.177 28 29 (95% CI 1.053-4.501) compared to the Danish control group on its own. MANOVA showed a significant 30 difference in the overall allele frequency distribution 31 between the combined MO group versus combined controls 32 (F=3.72 (2df), p=0.026). This was reflected in the 33 genotype distribution of the combined MO group where 34 the frequency of STin2.10/STin2.12 individuals was 35 reduced (χ^2 =4.75 (1df), p<0.05) while the frequency of 36

STin2.12/STin.12 individuals was increased ($\chi^2=6.46$ 1 (1df), p<0.02).2 3 The combined MA group had a significant increase in 4 STin2.9 carriers ($\chi^2=4.69$ (1df), p<0.05), and for the 5 risk of MA given a single copy of STin2.9, the odds 6 ratio was 5.080 (95% CI, 1.003-25.716). If patients 7 with co-occurrence of both MO and MA were excluded, 8 there remains a non-significant trend in this 9 direction. The MA alone group showed a much lower 10 frequency of STin2.10/STin2.12 individuals than 11 combined controls ($\chi^2=6.65$ (1df), p<0.01). There was 12 also a significant decrease in individuals with the 13 STin2.10/Stin2.12 genotype in both MA groups. 14 MANOVA failed to show a significant difference in 15 overall allele frequency distribution of either MA 16 17 group. 18 The group with co-occurrence of both MO and MA showed a 19 significantly different pattern of overall allele 20 frequency distribution (F=5.34 (2df), p=0.006), again 21 with a reduction in STin2.10 carriers compared to the 22 combined controls ($\chi^2=4.34$ (ldf), p<0.05) and this 23 difference was also significant when compared to the 24 Danish controls alone (Table 3a). 25 26 Table 3b shows a parallel study with an amplified 27 population, where similar subjects were chosen from 28 Danish MO and MA sufferers. 173 individuals having MO 29 and 94 having MA were included. 18 individuals met 30 criteria for both MO and MA. The control group of 133 31 individuals comprised 85 individuals from the same 32 source as the subjects and 48 other volunteers from the 33

interview and a physical and neurological examination by an experienced neurological resident. The

Copenhagen area. All participants had a clinical

28

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operational diagnostic criteria of the International
 1
      Headache Society (Society HCCotIH. Cephalagia
 2
 3
      1988; Supplement 17:1-96) were used. The project was
      approved by the Danish Ethics Committee.
4
                                                 Methods
      previously described were employed.
5
6
7
      Results:
      Comparing the MO group to controls, MO patients showed
8
      a significant move away from genotypes having a copy of
9
      the STin2.10 allele (\chi^2 =5.70, (ldf), P =0.017) and a
10
      significant increase in the frequency of individuals
11
      with genotypes having a copy of the STin2.12 allele (\chi^2
12
      =4.68, (ldf), P = 0.031) although the difference in the
13
      overall allele frequency distribution did not reach
14
      significance. In the MO group, 44.5% of individuals had
15
      a homozygous STin2.12 genotype compared to 32.3% of
16
      controls. For the risk of MO given a genotype
17
      homozygous for the STin2.12 allele, the odds ratio was
18
      1.68 (95% CI, 1.05-2.69) compared to other genotypes.
19
20
      The MA group also showed a non-significant trend away
21
      from carrying the STin2.10 allele (\chi^2 =3.29, (ldf), P
22
      =0.07). This was associated both with non-significant
23
      increases in STin2.12 carriers (\chi^2 = 3.01, (ldf), P
24
      =0.083), and in STin2.9 carriers to 6.4% compared to
25
      2.3% in the controls. This latter difference, when
26
      considered as the risk of MA given a single copy of
27
      STin2.9, was represented by an odds ratio of 2.95 (95%
28
      CI, 0.72-12.13). This increase in STin2.9 carriers in
29
      the MA group was in contrast to the MO group, where
30
      there was no suggestion of such a change (\chi^2 =0.08,
31
      (1df), P = 0.779).
32
33
      The group with co-occurrence of both MO and MA showed a
34
35
      significantly different pattern of overall allele
      frequency distribution from controls (\chi^2 =7.39, (2df), P
36
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=0.025), reflecting a significant reduction in 1 genotypes containing the STin2.10 allele when compared 2 to controls (χ^2 =3.95, (1df), P =0.047), and a 3 non-significant shift both to STin2.9 carriers(OR = 4 5.42, 95% CI, 0.84-34.90) and to STIn2.12 5 homozygosity(OR = 2.62, 95% CI, 0.96-7.10). 6 Discussion Я This example confirms by sequencing the existence in a 9 non-British population of identical allelic forms of 10 the human serotonin transporter gene intron 2 VNTR to 11 those previously described. The example demonstrates a 12 difference in the allelic distribution of the VNTR 13 between individuals with co-occurence of MO and MA, and 14 unaffected controls. In addition, an apparent 15 dissociation between individuals suffering from 16 migraine without aura and individuals suffering from 17 migraine with aura in genotype distribution at this 18 locus is demonstrated. 19 20 The data are suggestive that the STin2.10 allele may be 21 protective against the development of both types of 22 migraine. MO patients show a significant shift away 23 from carrying the ten repeat, STin2.10 allele, towards 24 having the STin2.12 allele. While the MA patients also 25 show such a trend, they exhibit both a threefold 26 increase in carriers of the rare STin2.9 allele as well 27 as a move towards STin2.12 homozygozity when compared 28 to controls. The findings regarding the group of 29 individuals with co-occurrence of both MO and MA is 30 intriguing. Such co-occurrence is rare and the group 31 is therefore small in this epidemiological sample. 32 However, the presence of a statistically significant 33 separation in overall allele distribution in this 34 group, when compared to controls, and a significant 35 reduction in genotypes with a STin2.10 allele 36

associated with both a trend to STin2.9 elevation and 1 an increase in STin2.12 homozygosity, may reflect the 2 contribution of the different alleles to each disorder 3 while also reinforcing the distinctiveness of MO and MA. 5 MO patients show a significant shift towards the 7 STin2.12 allele, while the MA patients show a move 8 towards more STin2.9 carriers when compared to 9 controls. The HSERT VNTR polymorphism may be only one 10 of a number of genes which may mediate susceptibility 11 to migraine. It is interesting to note that the 12 segregation analysis performed by Mochi and colleagues 13 suggested the involvement of two or more genes (see 14 Mochi et al, 1993, supra), and their proposed reduced 15 penetrance model may in fact be concealing a more 16 complex pattern of inheritance. In light of the 17 proposed role of allelic variation in the serotonin 18 transporter gene as a susceptibility factor for major 19 depression, it is of particular interest that MA has 20 been shown to be the type of migraine most strongly 21 associated with depression (Breslau et al supra). 22 is important to emphasise that patients were not 23 excluded from either control or patient groups in the 24 present study on the basis of a history of affective 25 disorder and that this could be a confounding factor. 26 Breslau and colleagues (see Breslau et al, 1991, supra) 27 have shown that the odds ratio for migraine and 28 depression co-morbidity is generally higher with MA 29 versus controls (OR=4.0; 95% CI, 2.2-7.2) than with MO 30 versus controls (OR=2.2; 95% CI, 1.2-4.0). 31 32 The findings regarding the group of individuals with 33 co-occurrence of both MO and MA is intriguing. Such 34 co-occurrence is rare and the group is therefore small 35 in this epidemiological sample. However, the finding 36

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31

of both a trend to STin2.9 elevation and an increase in 1 STin2.12 homozygosity in the presence of a 2 statistically significant separation in overall allele 3 distribution when compared to controls (F=5.34 (2df), 4 p=0.006), may reflect the contribution of the different 5 alleles to each disorder while also reinforcing the 6 distinctiveness of MO and MA. 7 8 The differences found in the observed and expected 9 genotype distribution for the MA group may be explained 10 by Russell's observation (see Cephalalgia, 1996) of a 11 bimodal distribution in age at onset in MA patients 12 with MA, suggesting the existence of two subtypes of 13 The failure of the combined patient and combined 14 control groups to meet Hardy-Weinberg equilibrium may 15 simply be due to the fact that they are an amalgamation 16 of two separate groups. 17 18 These data support the view that susceptibility to MO 19 and MA has a genetic component and that genetic 20 susceptibility may in some cases be associated with a 21 locus at or near the serotonin transporter gene. They 22 also suggest that, in particular, the group of 23 individuals with co-occurrence of MO and MA may be 24 worthy of further investigation. The apparent 25 dissociation between MO and MA with regard to patterns 26 of HSERT genotype distribution is also of interest in 27 light of the ongoing debate over whether MO and MA are 28 in fact separate disorders or merely subtypes of a 29 unitary entity. These data support the increasing 30 epidemiological evidence suggestive of a true 31 separation between the two disorders. 32 33

SEQUENCE LISTING

32

(1) GENERAL INFORMATION:

- (i) APPLICANT:
 - (A) NAME: Medical Research Council
 - (B) STREET: 20 Park Crescent
 - (C) CITY: London
 - (E) COUNTRY: United Kingdom
 - (F) POSTAL CODE (ZIP): WIN 4AL
 - (A) NAME: Sharon Battersby
 - (B) STREET: 2 Pentland Gardens
 - (C) CITY: Edinburgh
 - (E) COUNTRY: UK
 - (F) POSTAL CODE (ZIP): EH10 6NW
 - (A) NAME: George Fink
 - (B) STREET: 23 Regent Terrace
 - (C) CITY: Edinburgh
 - (E) COUNTRY: UK
 - (F) POSTAL CODE (ZIP): EH7 5BS
 - (A) NAME: Guy Manning Goodwin
 - (B) STREET: 74 Murrayfield Gardens
 - (C) CITY: Edinburgh
 - (E) COUNTRY: UK
 - (F) POSTAL CODE (ZIP): EH12 6DQ
 - (A) NAME: Anthony John Harmar
 - (B) STREET: 56 Liberton Place
 - (C) CITY: Edinburgh
 - (E) COUNTRY: UK
 - (F) POSTAL CODE (ZIP): EH16 6NA
 - (A) NAME: Alan David Ogilvie
 - (B) STREET: 6 Eden Lane
 - (C) CITY: Edinburgh
 - (E) COUNTRY: UK
 - (F) POSTAL CODE (ZIP): EH10 4SD
 - (A) NAME: Christopher Albert Dale Smith
 - (B) STREET: 18 Gilberstoun
 - (C) CITY: Edinburgh
 - (E) COUNTRY: UK
 - (F) POSTAL CODE (ZIP): EH15 2QY
- (ii) TITLE OF INVENTION: Screening for disorders of serotonergic dysfunction
- (iii) NUMBER OF SEQUENCES: 5

<pre>(iv) COMPUTER READABLE FORM: (A) MEDIUM TYPE: Floppy disk (B) COMPUTER: IBM PC compatible (C) OPERATING SYSTEM: PC-DOS/MS-DOS (D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)</pre>	
2) INFORMATION FOR SEQ ID NO: 1:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 150 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	
(iv) ANTI-SENSE: NO	
<pre>(vi) ORIGINAL SOURCE: (A) ORGANISM: Homo sapiens</pre>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:	
GGCTGTGACC CAGGGTGGGC TGTGACCCGG AGTGGGCTGT GACCCGGGGT GGGCTGTGAC	60
CCGGGTGGGC TGCGACCTGG GGTGGGCTGT GACCCGGGTG GGCTGTGACC TGGGGTGGGC	120
TGTGACCCGG GTGGGCTGTG ACCTGGGATG	150
(2) INFORMATION FOR SEQ ID NO: 2:	
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 167 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(vi) ORIGINAL SOURCE:

(A) ORGANISM: Homo sapiens

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:	
GGCTGTGACC CAGGGTGGGC TGTGACCCGG AGTGGGCTGT GACCCGGGGT GGGCTGTGAC	60
CCGGGTGGGC TGCGACCTGG GGTGGGCTGT GACCTGGGAT GGGCTGTGAC CCGGGTGGGC	120
TGTGACCTGG GGTGGGCTGT GACCCGGGTG GGCTGTGACC TGGGATG	167
(2) INFORMATION FOR SEQ ID NO: 3:	
 (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 200 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear 	
(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	
(iv) ANTI-SENSE: NO	
(vi) ORIGINAL SOURCE: (A) ORGANISM: Homo sapiens	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:	
GGCTGTGACC CAGGGTGGGC TGTGACCCGG AGTGGGCTGT GACCCGGGGT GGGCTGTGAC	60
CCGGGTGGGC TGCGACCTGG GGTGGGCTGT GACCTGGGAT GGGCTGTGAC CCGGGTGGGC	120
TGTGACCTGG GGTGGGCTGT GACCCGGGTG GGCTGTGACC TGGGGTGGGC TGTGACCCGG	180
GTGGGCTGTG ACCTGGGATG	200
(2) INFORMATION FOR SEQ ID NO: 4:	
 (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 22 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear 	
(ii) MOLECULE TYPE: cDNA	
(iii) HYPOTHETICAL: NO	

(iv) ANTI-SENSE: NO

(vi)	ORIGINAL	SOURCE:
------	----------	---------

(A) ORGANISM: Homo sapiens

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

TGTTCCTAGT CTTACGCCAG TG

22

- (2) INFORMATION FOR SEQ ID NO: 5:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 21 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: cDNA
 - (iii) HYPOTHETICAL: NO
 - (iv) ANTI-SENSE: NO
 - (vi) ORIGINAL SOURCE:
 - (A) ORGANISM: Homo sapiens
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

GTCAGTATCA CAGGCTGCGA G

Table 1. Distribution of genotypes and allele frequencies of the VNTR in control and patient groups.

Genotype Distribution (%)

	c	STin2.9/	STin2.10/	٠.	STin2.10/
		other	STin2.10		STin2.12
Combined Controls	193	2.1	14.5	33.7	49.7
-BTS	122	1.6	13.9		49.2
Screened	7.1	2.8	15.5		50.7
Affective Disorder	83	8.4	21.7		38.6
-Bipolar	4	4.5	27.3		36.4
-Unipolar	39	12.8 ^b	15.4		41.0

Allele Frequency (%)

	c	STin2.9	STin2.10	STin2.12
Combined Controls	386	8 :	39.64	59.33
-BTS	244	0.82	38.93	60.25
-Screened	142	1.41	40.85	57.75
Affective Disorder	991	4.22°	41.57	54.22
-Binolar	88	2.27	45.45	52.27
-Unipolar	78	6.41	37.18	56.41

Statistically significant differences from the combined control group were as follows:

 $\chi^2 = 6.14$, P < 0.02. O.R. = 4.35, 95% C.I. 1.2 - 15.3. Survives Fisher exact test (two-tailed) at P < 0.05.

 $\chi^2 = 10.05$, P < 0.002. O.R. = 6.95, 95% C.I. 1.8 · 27.2. Survives Fisher exact lest (two-tailed) at P < 0.01. زج زن غربه

 $\chi^2 = 4.49$, P < 0.05. O.R. = 4.20, 95% C.I. 1.2 -14.6.

 $\chi^2 = 6.00$, P < 0.02. O.R. = 6.51, 95% C.I. 1.7 - 24.9.

•	,	•	Genoty	Genotype distribution (%)	outlon (%)			Allele	e Frequency	(%) A:	
	Z	9+ 10 or 12	9 10	9 12	· 10 10	10 12	12 12	STin2.9	STIn2.10		ΙL
Controle										-	
All controls		2.02	0.29	1.73	14.74	50.87	32.37	1.01	40.32	58.67	
B.T.S.	243	2.47	0.41	2.06	15.64	49.79	32.10	1.23	40.74	58.02	
screened	103	0.97	0.00	0.97	12.62	53.40	33.01	0.49	39.32	60.19	
Patients										!	_
All affective disorder 247	247	7.291	3.24	4.05	13.77	45.34	33.60	3.64	38.06	58.30	5.084
bipolar	128	6.252	2.34	3.91	14.06	48.44	31.25	3.13	39.45	57.42	2.74
unipolar	119	8.403	4.20	4.20	13.45	42.05	36.13	4.20	36.55	59.24	5.425
								,			

Table 2: Distribution of genotype and allele frequencies of VNTR in control and patient groups

Significant differences from the combined control group in the total sample: $1 \chi^2 = 9.89$, P = 0.0017, 1df; $2 \chi^2 = 5.45$, P = 0.0196, 1df; $3 \chi^2 = 10.23 P = 0.0014$, 1df; 4 MANOVA, 2df, P = 0.006; 5 MANOVA, 2df, P = 0.005.

		_			Censtree	Genetype Distribution, 5. [N]	INI S			4	Aliele Errquency, S. (N)	N.	
		z	9 tother	9+10	9+12	10+10	10+12	12+12	z	STIn2.9	STIn2 10	STIn 2.12	F
Controls So D	Combined Scottleh screened Danleh screened	151 103 46	1.32 [2] 0.97 [1] 2.06 [1]	0000	1.32 (2) 0.97 (1) 2.06 (1)	13.25 [20] 12.62 [13] 14.58 [7]	53.64 (81) 53.40 (55) 54.17 (26)	31.79 [46] 33.01 [34] 29.17 [14]	302 206 96	352	40.07 [121] 39.32 [61] 41.67 [40]	\$9.27 [179] 60.19 [124] 57.29 [55]	
Migraine with sura	Combined MA alone	94	6.78 (61 ¹ 5.26 (4)	4.26 [4] 3.95 [3]	2.13 (2)	15.96 [15]	34.04 (32) 2.A 35.53 (27) 3.8	43.62 [41]	188	3.19 [6]	35.11 [66] 38.16 [58]	61.70 [116] 59.21 [90]	
Mignine without sun	Combined MO alone	110	3.64 [4] 2.17 [2]	0.91 111	2.73 (3) 2.17 (2)	9.09 (10] 9.78 (9)	40 00 [44] 4 42 39 [39]	47.27 (52) ^{8,C} 45.65 (42) ⁶	220	1.82 (4)	29.55 [65] 7.D 30.98 [57] ⁹	68.64 [151] ¹⁰ 67.93 [125]	3.77"
Migraine with and without aura	MO+MA	9.	18 11.11 (2)	5.56 [1]	111 95'5	5.56 [1]	17.78 [5]	55.56 [10]	36	5.56 [2]	22.22 (8) 9.8	72.22 (26)	5.3412

Table 3a. Distribution of genotype and allele frequencies of VNTR in control and patient groups.

Statistically significant differences froon the Danish acreened control group were as follows.	A. 12 = 5.33, p<0.05.146 OR = 0.436, 95% CI = 0.215-0.888	B. x2 = 4.19, p<0.08, 14f; OR = 0.466, 95% CI = 0.220.0.974	C 12 + 4.50, p=0.05, 146, OR = 2.177, 95% CI = 1.050 + 501	D. x2 = 4.43, p=aas, 14f, OR = a.547 95% Cl = a.357-0.960	E. x2 = 4.28, p<0.08, 14f; OR = 0.400, 958 CI = 0.615-0.969							
Statistically significant differences from the combined control group were as follows:	1. I's 4.69, p-aus, 14f, OR = 5.004 95% CI = 1.000-2.5716	2 12 = 2.44, p-43.01, 146, OR = 0.446, 95% CI = 0.262-0.760	3. x2 = 6.65, p-0.00, 1dt OR = 0.076, 15% CI = 0.270-0.941	4 x = 4.75, p-4.05, 144, OR = 0.574, 95% CI = 0.350-0.948	5 1 = 6.44, p 40.02, 146, OR = 1.974, 95% CI = 1.150-3.195	6. 1 = 4.71, p-0.05, 1dt, OR = 1.803, 95% CI = 1.056-3.076	7. x2 = 614, p-0202, 1dt; OR = 0.627, 95% CI = 0.633-0.908	1. 12 = 4.07, p-0.05, 1dt OR = 0.671, 98% CI = 0.455-0.990	9. 12 = 434, p-0.05, 146, OR = 0.47, 98% CI = 0.188-0.969	10 x2 = 4.00, p=0.05, 1df OR = 1.504, 95% CI = 1.043-2.168	11 MANOVA, p=0026, 24f	12 MANOVA, P-0.004, 24f

			Senot	re Distrib	Genotype Distribution, % [N]	1		Alle	Mele Frequency, % [N]	NI %
	z	9+10	9+12	10+10	9+10 9+12 10+10 10+12	12+12 N STin2.9 STIn2.10 STIn2.12	Z	STin2.9	STIn2.10	STIn2.12
Controls	133	0.8(1)	1.5/2]	143[19]	133 0.8(1) 1.5(2) 14.3(19) 51.1(68)	32.3[43]	997	16)1.1 992	402[107]	58.7[156]
Migraine without aura 173 0.6[1] 2.9[5]	173	0.6[1]	2.9 5	12.7[22]	z 1991¢6¢	127[22] 39.3[68] 2 44.5[77]4	346	346 1.7161	32.7[113	65.6(227)
Migraine with aura 94 43[4] 2.1[2] 16.0[15] 34.0[32]3 43.6[41]	94	43[4]	2.1 [2]	16.0[15]	34.0 [32] ³	43.6 [41]	188	32 [6]	188 32 [6] 35.1 [66]	61.7 (116)
Migraine with and without aura	18	5.6[1]	5.6[1]	[1] 9'5	18 5.6[1] 5.6[1] 5.6[1] 27.8[5] 55.6[10]		361	5.6 [2]	361 5.6[2] 22.2[8]	72 [26]

Table 3b. Distribution of genotype and allele frequencies of VNTR in control and patient groups.

Statistically significant differences from the control group were as follows: $1\chi^2 = 7.39, (2df), P=0.025$ $2\chi^2 = 4.26, (1df), P=0.039$ $3\chi^2 = 6.52, (1df), P=0.011$ $4\chi^2 = 4.68, (1df), P=0.031$

40

ı Claims: A polynucleotide having a sequence as set out in 2 3 any one of SEQ ID Nos:1, 2, 3, 4 and 5 or a part 4 thereof. 5 The alleles STin2.9, STin2.10 and STin2.12 as 6 7 described herein. 8 A vector comprising a polynucleotide as claimed in 9 claim 1 or an allele as claimed in claim 2. 10 11 A cell containing a polynucleotide as claimed in 12 claim 1, an allele as claimed in claim 2 or a vector as 13 claimed in claim 3. 14 15 A cell as claimed in claim 4, wherein at least 16 part of the polynucleotide or allele is located in 17 intron 2 of the serotonin transporter gene. 18 19 The use of: 20 6 a polynucleotide as claimed in claim 1 or an 21 allele as claimed in claim 2 or a vector as 22 claimed in claim 3 or a derivative or a part 23 24 thereof; or a cell as claimed in claim 4 or claim 5; 25 in genetic engineering procedures. 26 27 A transgenic animal containing a polynucleotide as 28 claimed in claim 1, an allele as claimed in claim 2 or 29 a vector as claimed in claim 3. 30 31 A transgenic mammal containing a polynucleotide as 32 claimed in claim 1, an allele as claimed in claim 2 or 33 a vector as claimed in claim 3. 34 35 The use of a cell as claimed in claim 4 or claim 5 36 9

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or a transgenic animal as claimed in claim 7 or claim 8 to evaluate potential agents which may be effective for combatting psychiatric disorders and other disorders of serotonergic function.

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10 A method of evaluating the ability of an agent to influence the expression of a serotonin transporter, said method comprising exposing said agent to a cell as claimed in claim 4 or claim 5, or to a transgenic animal as claimed in claim 7 or claim 8, and determining the effect of said agent on the expression of the serotonin transporter gene.

12 13

14 11 A method of diagnosis of migraine or psychiatric 15 disorders, or of susceptibility thereto, said method 16 comprising analysing the number of VNTR repeats in the 17 second intron of the serotonin transporter gene.

18

19 12 A method as claimed in claim 11, wherein said 20 method analyses the number of copies of alleles 21 STin2.9, STin2.10 and/or STin2.12.

22

23 13 A method as claimed in claim 11 or 12, wherein the 24 disorders include aggression, dementia, alzheimer's 25 disease, mood disorders, depressive disorders, anxiety 26 disorders, personality disorders and general medical 27 disorders characterised by abnormal serotonergic 28 function.

29

30 14 A method as claimed in claim 11 or claim 12
31 wherein the number of VNTR repeats or said alleles
32 occurring in intron 2 of the serotonin transporter gene
33 is determined in vitro.

34

35 15 A method as claimed in any one of claims 11-14, 36 wherein the number of VNTR repeats or the presence of WO 97/11175 PCT/GB96/02360

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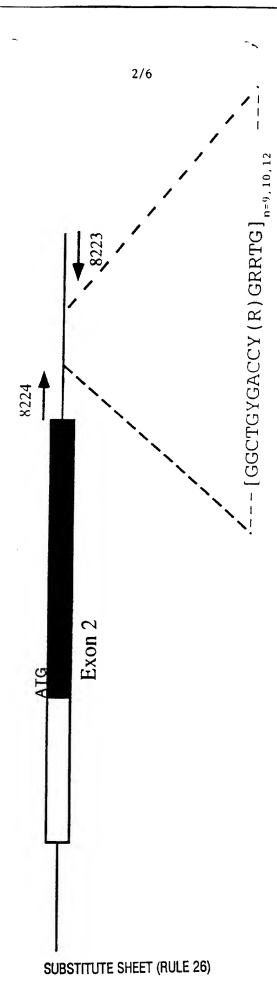
1	said alleles is determined using polymerase chain
2	reaction, heteroduplex analysis, comparative genome
3	hybridisation, single strand conformational
4	polymorphism analysis, ligase chain reaction and/or
5	Southern blotting.
6	
7	16 A method as claimed in any one of claims 11-15,
8	wherein a sample from one individual is analyzed.
9	
10	17 A method as claimed in claim 16, wherein the
11	sample comprises body tissue or body fluids containing
12	DNA.
13	
14	
15	

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	_	4
٤	2	4
٤		4
	2	4
:	2	4

CC.GGGTG GGCTGCGACC' (D) CCGGGGTG GGCTGTGACC' CC.GGGTG GGCTGTGACC' CC.GGGTG GGCTGTGACC' CC.GGGTG GGCTGTGACC'	STINZ.1Z GGCTGTGACCCAGGTG GGCTGTGACCCGGGGTG GGCTGTGACCC.GGGTG GGCTGCGACCTGGGGTG 5(E) 1(A) 3(C) 4(D) 5(E)	Lesch 10
	GGCTGTGAC GGCTGTGAC GGCTGTGAC	GGCTGTGAC GGCTGTGAC GGCTGTGAC GGCTGTGAC
GGCTGTGAC GGCTGTGAC GGCTGTGAC GGCTGTGAC GGCTGTGAC	COCTGTGACCCGGGGTG GGCTGTGACCCGGGGTG GGCTGTGACCCGGGGTG	GGCTGTGACCTGGGGTG
GGCTGTGACCCGGGGTG GGCTGTGAC GGCTGTGACCCGGGGTG GGCTGTGAC GGCTGTGACCCGGGGTG GGCTGTGAC 3(C) GGCTGTGACCTGGGGTG GGCTGTGAC CCCTGTGACCTGGGGTG GGCTGTGAC CCCTGTGACCTGGGGTG GGCTGTGAC	GCCTGTGACCCGGAGTG GGCTGTGACCCGGAGTG GGCTGTGACCCGGAGTG	GCCTGTGACCC GGGTG
GCTGTGGGTG GCTGTGACCGGGGTG GGCTGTGACGGGTG GCTGTGACGGGGTG GCTGTGACCGGGGTG GCTGTGACCGGGGTG GCTGTGACCGGGGTG GCTGTGACCGGGGTG GCTGTGACCGGGGTG GCTGTGACCGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGGGGTG GCTGTGACCTGACC	GGCTGTGACCCAGGGTG GGCTGTGACCCAGGGTG GGCTGTGACCCAGGGTG	GGCTGTGACCTGGGATG
STIN2.10 GGCTGTGACCCAGGGTG GGCTGTGACCCGGGGTG GGCTGTGACCCGGGTG STIN2.11 GGCTGTGACCCAGGGTG GGCTGTGACCCGGGTG GGCTGCGACCTGGGGTG STIN2.12 GGCTGTGACCCAGGGTG GGCTGTGACCCGGGTG GGCTGCGACCTGGGGTG STIN2.12 GGCTGTGACCCAGGGTG GGCTGTGACCCGGGTG GGCTGCGACCTGGGGTG STIN2.12 GGCTGTGACCCAGGGTG GGCTGTGACCCGGGTG GGCTGCGACCTGGGGTG 1(A)	STin2.10 STin2.10 STin2.10 STin2.12	Lesch 10 STin2.9 STin2.10 STin2.12

Lesch 10 GGCTGTGACCCGGGGTG GGCTGTGACCTGGGATG STin2.9 GGCTGTGACCC.GGGTG GGCTGTGACCTGGGATG STin2.10 GGCTGTGACCC.GGGTG GGCTGTGACCTGGGATG STin2.12 GGCTGTGACCC.GGGTG GGCTGTGACCTGGGATG Differences between STin2.10 and Lesch are : GA instead of AG in repeat 1 (underlined), our repeats 4,7,9 and 11 are 16bp long rather than 17, STin2.10 appears to be lacking repeats 7 and 8 whilst Lesch appears to lack 6 and 7.



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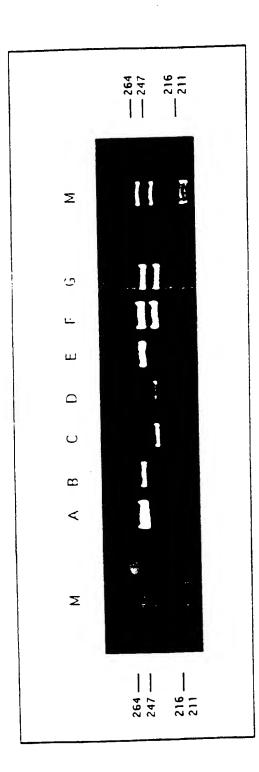
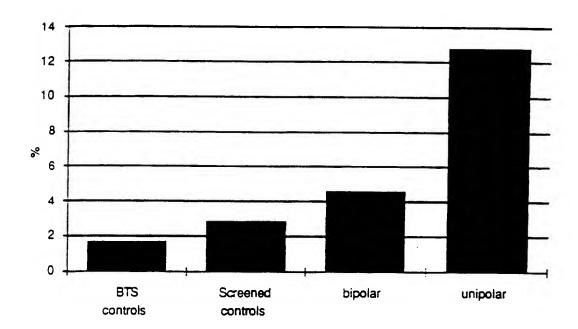


Figure 3: Ethidium bromide stained 5% polyacrylamide get shows PCR fragments from 7 DNA samples with 10+10 (A & E), 10+12 (B), 9+12 (C & D) and 9+10 (F & G) copies of 16 or 17 bp VNTR M = DNA Markers

Figure 4.



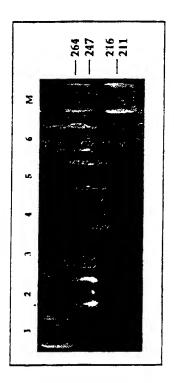


Figure 5

Figure 6.



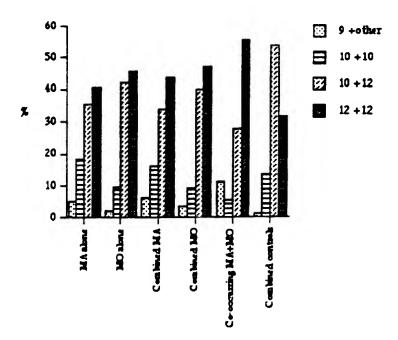
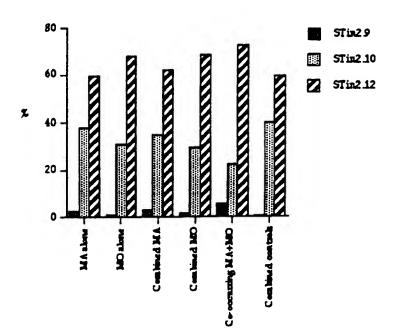


Figure 7.



onal Application No

IPC 6	FICATION OF SUBJECT MATTER C12N15/12 C07K14/47 A01K67 C12N5/10		C12Q1/68
According to	International Patent Classification (IPC) or to both national cla	assification and IPC	
B. FIELDS	SEARCHED		
Minimum do IPC 6	ocumentation searched (classification system followed by classifi COTK C12N A01K C12Q	ication symbols)	
	ion searched other than minimum documentation to the extent t		
Electronic da	ata base consulted during the international search (name of data	base and, where practical, search (erms used)
C. DOCUM	IENTS CONSIDERED TO BE RELEVANT		
Category '	Citation of document, with indication, where appropriate, of the	he relevant passages	Relevant to claim No.
P,X	LANCET, MAR 16 1996, 347 (9003 ENGLAND, XP000615491 OGILVIE AD ET AL: "Polymorphi serotonin transporter gene asso susceptibility to major depres see the whole document	sm in ociated with	1-6
P,X	NEUROREPORT, 7 (10). 1996. 167 XP000613721 COLLIER D A ET AL: "The serot transporter is a potential sus factor for bipolar affective d see the whole document	onin ceptibility	1-6
X Fur	ther documents are listed in the continuation of box C.	X Patent (amily member	ers are listed in annex.
* Special of A* docum consu- 'E' earlier filing 'L' docum which create 'O' docum other 'P' docum later Date of the	alegones of cited documents: ment defining the general state of the art which is not dered to be of particular relevance or occument but published on or after the international	or priority date and not cited to understand the privention 'X' document of particular reamon to considered no involve an inventive step 'Y' document of particular reamon to considered to document is combined to mens, such combined in the art. '&' document member of the	after the international filing date in conflict with the application but orncripte or theory underlying the elevance; the claimed invention livel or cannot be considered to be when the document is taken alone elevance; the claimed invention involve an inventive step when the with one or more other such document being obvious to a person skilled a same patent family
Name and	4 mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswik Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax (+31-70) 340-3016	Authonzed officer Espen, J	

INTERNATIONAL SEARCH REPORT

Inter onal Application No

	AGON) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory '	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
(J NEURAL TRANSM, vol. 95, 1994, pages 157-162, XP000613887 LESCH KP ET AL.: "Organization of the human serotonin transproter gene" see abstract; figure 1	1-6
1	see page 158, paragraph 4 - page 159	9-17
Y	WO,A,93 08261 (UNIV EMORY ;UNIV DUKE (US)) 29 April 1993 see page 13 - page 14	9-17
	·	

Form PCT-ISA/218 (continuation of second sheet) (July 1992)



mational application No.

PCT/GB 96/02360

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This Int	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Please see Further Information sheet enclosed.
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inc	ernational Searching Authority found multiple inventions in this international application, as follows:
t. 🔲	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1992)

INTERNATIONAL SEARCH REPORT

International Application No. PCT/GB 96/ 02360

descendence of the properties of

	FORMATION CONTINUED FROM PCT/ISA/210
Remark:	Although claims 11-13, 15-17 (as far as an in vivo method is concerned) are directed to a method of treatment of diagnostic method practised on the human/animal body the search has been carried out and based on the alleged effects of the compound/composition.

INTERNATIONAL SEARCH REPORT

ar on on patent family members

In onal Application No

	or or permitted in the		, GB	96/02360
Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO-A-9308261	29-04-93	AU-A- US-A-	5418162	21-05-93 23-05-95